

Case Docket No. LOMACEN.015C4

Date: June 9, 2004

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s)

William J. Wechter

Appl. No.

10/660,927

Filed

September 12, 2003

For

USE OF γ-TOCOPHEROL AND

ITS OXIDATIVE

METABOLITE LLU- $\alpha$  IN THE

TREATMENT OF DISEASE

Examiner

Henley, Raymond J., III

Group Art Unit:

1614

I hereby certify that this correspondence and all marked attachments are being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on

June 9, 2004

(Date)

Eric S. Furmar, Ph.D., Reg. No. 45,664

# TRANSMITTAL LETTER

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

## Dear Sir:

Enclosed for filing in the above-identified application are:

- (X) An Information Disclosure Statement.
- (X) A PTO Form 1449 with five (5) references, which are enclosed.
- (X) The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment, to Account No. 11-1410.
- (X) Return prepaid postcard.

Eric S. Furman, Ph.D. Registration No. 45,664 Attorney of Record Customer No. 20,995

(619) 235-8550

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# INFORMATION DISCLOSURE STATEMENT

Applicant : William J. Wechter

App. No. : 10/660,927

Filed: September 12, 2003

For : USE OF γ-TOCOPHEROL AND ITS

OXIDATIVE METABOLITE LLU-a IN THE

TREATMENT OF DISEASE

Examiner : Henley, Raymond J., III

Group Art Unit : 1614

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

#### Dear Sir:

Enclosed is form PTO-1449 listing five (5) references that were until recently, unknown to the inventor. A copy of each of the references is also enclosed.

This Information Disclosure Statement is being filed before the mailing date of a final action under 37 C.F.R. § 1.113 and before the mailing date of a Notice of Allowance under § 1.311. A certification under 37 C.F.R. § 1.97(e) is set forth below.

## CERTIFICATION UNDER 37 C.F.R.§ 1.97(e)(2)

I hereby certify that no item of information contained in this Statement was cited in a communication from a foreign Patent Office in a counterpart foreign application, or, to my knowledge after making reasonable inquiry, was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this Information Disclosure Statement. Thus, no fee is required as set forth in 37 C.F.R. § 1.97(c). Accordingly, no fee is required to submit this Information Disclosure Statement under 37 C.F.R.§ 1.97(e)(2).

Respectfully submitted,

KNOBBE, MARTENS, OLSON & BEAR, LLP

Dated:

By:

Eric S. Furman, Rh.D.
Registration No. 45,664
Attorney of Record

Customer No. 20,995

(619) 235-8550

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FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE					ATTY. DOCKET NO. LOMACEN.015C4	APPLICATION NO. 10/660,927			
INFORMATION DISCLOSURE STATEMENT BY APPLICANT  (USE SEVERAL SHEETS IN NECESSARY)									
					APPLICANT William J. Wechter				
					FILING DATE September 12, 2003	GROUP 1614			
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U.S. PATENT DOCUMENTS									
EXAMINER INITIAL		DOCUMENT NUMBER	DATE		NAME	CLASS	S SUBCLASS FILING DATE (IF APPROPRIATE)		
	1	2002/0107207 A1	08/08/02	San	nders et al.				
	2	2002/0156024 A1	10/24/02	San	nders et al.				
	3	2004/0097431 A1	05/20/04	San	nders et al.				
	4	6,417,223 B1	07/09/02	San	nders et al.				
	5	6,703,384 B2	03/09/04	San	nders et al.				
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